



4-9-01

0380/0360 Sector #3

Patent Office's Docket No. 3214

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hinterwaldner, et al.
Application No.: 09/534,752 Group No.: Not yet Known
Filed: 03/24/2000 Examiner: Not yet known
For: COATING COMPOSITIONS HAVING ANTISEIZE PROPERTIES FOR DISASSEMBLABLE
SOCKET/ PIN AND /OR THREADED CONNECTION

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT ATTESTING TO MAILING OF P.T.O. CORRESPONDENCE
UNDER 37 C.F.R. 1.8(a)

I, Hannah Martin, with respect to the above serial number, state that on June 27, 2000, I mailed the original of the correspondence identified below with Certificate of Mailing by first class mail and sufficient postage, in an envelope addressed to the "Box MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231." A copy of each of the following documents is enclosed:

- Copy of Notice to File Missing Parts
- Executed Declaration and Power of Attorney
- Check #12920 in the amount of \$445.00
- Assignment of Invention
- Recordation Cover Sheet
- Check #12919 in the amount of \$40.00
- Certified copy of English Translation

When preparing the original Response to the Notice to file Missing Parts, a typographical error was made in the Serial Number (09/534,572) printed on both the transmittal and post card. The correct Serial Number is 09/534,752 which is correctly indicated on the original Declaration & Power of Attorney and Assignment documents which were enclosed with the transmittal dated June 27, 2000.

While making several telephone inquiries to OPIE (Central Files), I was told that the formal papers had not been associated with the file to date. On April 5, 2001, I spoke with Ms. Janice Burse and was told to mail a copy of the documents identified above to "Box Missing Parts" along with a copy of the post card stamped received by the USPTO mail room on June 30, 2000 as well as a copy of the canceled check(front and back) made payable to the Commissioner of Patents. Our Accounting Department has confirmed that check #12920 and check #12919 were deposited for credit to the U.S. Treasury on July 3, 2000.

Dated: 4-6-01

Respectfully Submitted,

Signature of Hannah Martin

Patent and Trademark Coordinator

Niro, Scavone, Haller & Niro

181 W. Madison-Suite 4600

Chicago, IL 60602 PH: (312) 236-0733

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
3214

In Re Application Of: Waldner, Hinter

Serial No.
09/534572

Filing Date
March 24, 2000

Examiner
Not yet known

Group Art Unit
Not yet known

Invention: COATING COMPOSITIONS HAVING ANTISEIZE PROPERTIES FOR DISASSEMBLABLE
SOCKET/PIN AND/OR THREADED CONNECTIONS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
June 12, 2000
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Small entity verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Certified English Translation of Application.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
3214

In Re Application Of: **Waldner, Hinter**

Serial No.
09/534572

Filing Date
March 24, 2000

Examiner
Not yet known

Group Art Unit
Not yet known

Invention:

SOCKET/PIN AND/OR THREADED CONNECTIONS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$380.00

☐ Design application filing fee _____

☒ Total number of independent claims = 3 _____

☒ Total number of claims = 15 _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees \$445.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months

from: _____
Date

until: _____
Date

Total time extension fees _____

Total fees due \$445.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
3214

In Re Application Of: Waldner, Hinter

Serial No.
09/534572

Filing Date
March 24, 2000

Examiner
Not yet known

Group Art Unit
Not yet known

Invention: **COATING COMPOSITIONS HAVING ANTISEIZE PROPERTIES FOR DISASSEMBLABLE
SOCKET/PIN AND/OR THREADED CONNECTIONS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$445 is to be paid as follows:

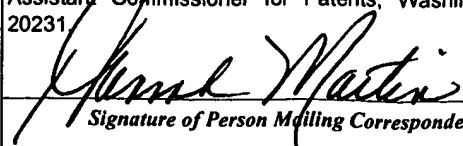
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 14-1131
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 14-1131
A duplicate copy of this sheet is enclosed.


Signature

Dated: June 26, 2000

Thomas G. Scavone
Niro, Scavone, Haller & Niro
181 W. Madison-Suite 4600
Chicago, Illinois 60602
(312) 236-0733

I certify that this document and fee is being deposited
on June 26, 2000 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Hannah Martin

Typed or Printed Name of Person Mailing Correspondence

cc:



#3

UNITED STATES PATENT AND TRADEMARK OFFICE

I, Susan POTTS BA ACIS,

Director of RWS Group plc, of Europa House, Marsham Way, Gerrards Cross, Buckinghamshire, England declare;

1. That I am a citizen of the United Kingdom of Great Britain and Northern Ireland.
2. That the translator responsible for the attached translation is well acquainted with the German and English languages.
3. That the attached is, to the best of RWS Group plc knowledge and belief, a true translation into the English language of the specification in German filed with the application for a patent in the U.S.A. on
under the number
4. That I believe that all statements made herein of my own knowledge are true and that all statements made on information and belief are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application in the United States of America or any patent issuing thereon.

For and on behalf of RWS Group plc

The 25th day of April 2000

FORMALITIES LETTER



OC000000005170582



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/534,752	03/24/2000	Rudolf Hinterwaldner	3214

Vasilios D Dossas
Niro Scavone Haller & Niro
181 West Madison Street
Suite 4600
Chicago, IL 60602

Date Mailed: 06/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 950.**

A copy of this notice MUST be returned with the reply.

Hopkins, D 74
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/10/2001 BHUYEH1 00000004 141131 09534752

01 FC:139 130.00 CH

05/03/2001 SSANDARA 00000004 09534752
01 FC:201 345.00 DP
02 FC:205 65.00 DP
Repln. Ref: 05/03/2001 SSANDARA 0013215000
DAH:141131 Name/Number:09534752
FC: 704 135.00 CR